

# Metric measure spaces satisfying Ricci curvature lower bounds Lecture 2

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## Recall from Lecture 1: Def of $CD(K, N)$

- ▶  $CD(K, N)$  condition [Lott-Sturm-Villani '06]: fixed  $N \in [1, +\infty]$  and  $K \in \mathbb{R}$ ,  $(X, d, m)$  is a  $CD(K, N)$ -space if the Entropy  $\mathcal{U}_{N,m}$  is  $K$ -convex along geodesics in  $(\mathcal{P}_2(X), W_2)$  (for finite  $N$  is a “distorted”  $(K, N)$ -geod. conv.).  
Cases easier to write, to keep in mind:

- ▶  $CD(K, \infty)$ : for every  $\mu_0, \mu_1 \in \mathcal{P}_2(X)$  there exists a  $W_2$ -geodesic  $(\mu_t)_{t \in [0,1]}$  such that for every  $t \in [0, 1]$  it holds

$$\mathcal{U}_{\infty,m}(\mu_t) \leq (1-t)\mathcal{U}_{\infty,m}(\mu_0) + t\mathcal{U}_{\infty,m}(\mu_1) - \frac{K}{2}t(1-t)W_2(\mu_0, \mu_1)^2.$$

- ▶  $CD(0, N)$ : for every  $\mu_0, \mu_1 \in \mathcal{P}_2(X)$  there exists a  $W_2$ -geodesic  $(\mu_t)_{t \in [0,1]}$  such that for every  $t \in [0, 1]$  it holds

$$\mathcal{U}_{N,m}(\mu_t) \leq (1-t)\mathcal{U}_{N,m}(\mu_0) + t\mathcal{U}_{N,m}(\mu_1).$$

## Recall from Lecture 1: stability of $CD(K, N)$

- ▶ **THM:** Fix  $K \in \mathbb{R}$  and  $N \in [1, \infty]$ . Let  $(X_n, d_n, \mathfrak{m}_n, \bar{x}_n)$ ,  $n \in \mathbb{N}$ , be a sequence of  $CD(K, N)$  p.m.m. spaces converging to  $(X_\infty, d_\infty, \mathfrak{m}_\infty, \bar{x}_\infty)$  in the pmGH-sense (or, more generally, in the pmG sense).  
Then  $(X_\infty, d_\infty, \mathfrak{m}_\infty)$  is a  $CD(K, N)$  space as well.
- ▶ From the stability of  $CD(K, N)$ : any pmGH limit of a sequence of Riemannian manifolds with  $\text{Ricci} \geq K$  and  $\dim \leq N$  is a  $CD(K, N)$  space.  $\rightsquigarrow CD(K, N) \supset \text{Ricci limits}$ .

## Plan of Lecture 2

- ▶ Introduce  $RCD(K, \infty)$
- ▶ Discuss gradient flows formulation of  $RCD(K, \infty)$
- ▶ Finite dimensional theory:  $RCD(K, N)$  and  $RCD^*(K, N)$
- ▶ Bochner inequality and some applications

# Part 1: $RCD(K, \infty)$ spaces

## $CD(K, N)$ Vs Ricci limits

- ▶ From the stability of  $CD(K, N)$ : any pmGH limit of a sequence of Riemannian manifolds with  $\text{Ricci} \geq K$  and  $\dim \leq N$  is a  $CD(K, N)$  space.  $\rightsquigarrow CD(K, N) \supset$  Ricci limits.
- ▶ Finsler manifolds with Ricci curvature bounded below are  $CD(K, N)$ . E.g.  $(\mathbb{R}^N, \|\cdot\|, \mathcal{L}^N)$  is  $CD(0, N)$ ,  $\|\cdot\|$  any norm.
- ▶ FACT: If a smooth Finsler manifold  $M$  is a Ricci-limit space then  $M$  is Riemannian (Cheeger-Colding '00).  $\rightsquigarrow$  the class of  $CD(K, N)$  is, in some sense, too large.
- ▶ Moreover, and maybe more importantly, some fundamental theorems in comparison geometry of Riemannian manifolds (e.g. Cheeger-Gromoll Splitting Theorem) are **not true in the larger Finsler category** (e.g.  $(\mathbb{R}^2, \|\cdot\|_\infty)$  is  $CD(0, 2)$ , contains a line but does not split isometrically).
- ▶  $\rightsquigarrow$  We wish to reinforce the  $CD(K, N)$  condition in order to isolate the “Riemannian”  $CD(K, N)$  spaces; in other words, we wish to rule out Finsler structures, but in a sufficiently weak way to still get a STABLE notion under pmGH converg.

## Cheeger energy and $RCD(K, \infty)$ spaces

- ▶ Given a m.m.s.  $(X, d, \mathfrak{m})$  and  $f \in L^2(X, \mathfrak{m})$ , define the Cheeger energy

$$Ch_{\mathfrak{m}}(f) := \frac{1}{2} \int_X |\nabla f|_w^2 d\mathfrak{m} = \liminf_{u \rightarrow f \text{ in } L^2} \frac{1}{2} \int_X (\text{lip} u)^2 d\mathfrak{m}$$

where  $|\nabla f|_w$  is the minimal weak upper gradient.

- ▶ **Crucial observation:** On a Finsler manifold  $M$ , the Cheeger energy is quadratic (i.e. parallelogram identity holds) iff  $M$  is Riemannian. A m.m.s. where the Cheeger energy is quadratic is said **infinitesimally Hilbertian**.
- ▶ **Idea** Reinforce the  $CD$  condition by asking that the Cheeger energy is quadratic.

**DEF**(Ambrosio-Gigli-Savaré, see also Ambrosio-Gigli-M.-Rajala)

Given  $K \in \mathbb{R}$ ,  $(X, d, \mathfrak{m})$  is an  $RCD(K, \infty)$  space if it is a  $CD(K, \infty)$  space & infinitesimally Hilbertian.

**Question:** is  $RCD(K, \infty)$  stable under pmG-convergence?

# Stability of heat flow under pmG-convergence

- ▶  $Ch_m : L^2(X, m) \rightarrow \mathbb{R}$  is a convex and l.s.c. functional so (by classical theory of gradient flows, e.g. Brezis) admits a unique gradient flow  $(H_t)_{t \geq 0}$  called **Heat flow**.
- ▶ If  $(X_n, d_n, m_n, \bar{x}_n) \rightarrow (X_\infty, d_\infty, m_\infty, \bar{x}_\infty)$  in the pmG-sense, then there is a way to define convergence of a sequence  $f_n \in L^2(X_n, m_n)$  to a function  $f_\infty \in L^2(X_\infty, m_\infty)$

**THM**(Gigli '11-Gigli-M-Savaré '13)[Stability of Heat flows]

Let  $(X_n, d_n, m_n, \bar{x}_n) \rightarrow (X_\infty, d_\infty, m_\infty, \bar{x}_\infty)$  in the pmG-sense,  $X_n$  are  $CD(K, \infty)$ -spaces. If  $f_n \in L^2(X_n, m_n)$  strongly  $L^2$ -converges to  $f_\infty \in L^2(X_\infty, m_\infty)$ , then

$$H_t^n(f_n) \rightarrow H_t^\infty(f_\infty) \text{ strongly in } L^2 \text{ for every } t \geq 0.$$

**Idea of proof:** i) Mosco convergence of Cheeger energies under pmG-convergence (pass via the entropy).

ii) convergence of resolvent maps

iii) approximate the heat flow by iterated resolvent maps to conclude. □



## Stability of $RCD(K, \infty)$ under pmG-convergence

**Fact:**  $(X, d, \mathfrak{m})$  is infinitesimally Hilbertian iff  $H_t : L^2(X, \mathfrak{m}) \rightarrow L^2(X, \mathfrak{m})$  is linear for every  $t > 0$ .

**THM** (Ambrosio-Gigli-Savaré '11, Gigli-M-Savaré '13): Let  $(X_n, d_n, \mathfrak{m}_n, \bar{x}_n)$ ,  $n \in \mathbb{N}$ , be a sequence of  $RCD(K, \infty)$  p.m.m. spaces converging to a limit space  $(X_\infty, d_\infty, \mathfrak{m}_\infty, \bar{x}_\infty)$  in the pmG-sense. Then  $(X_\infty, d_\infty, \mathfrak{m}_\infty)$  is  $RCD(K, \infty)$  as well.

**Idea of proof:**

- i) we already know that  $CD(K, \infty)$  is stable, so  $(X_\infty, d_\infty, \mathfrak{m}_\infty)$  is a  $CD(K, \infty)$  space.
- ii) since the heat flows of  $X_n$  are linear, by the stability of heat flows also the limit heat flow is linear.



## RCD( $K, \infty$ ) via gradient flows. Preliminaries

- ▶ Let  $(Y, d_Y)$  be a geodesic space (later we will take  $(Y, d_Y) = (\mathcal{P}_2(X), W_2)$ ). A functional  $E : Y \rightarrow \mathbb{R} \cup \{+\infty\}$  is said  **$K$ -convex** if for every  $y_0, y_1 \in Y$  there exists a constant speed geodesic  $\gamma : [0, 1] \rightarrow Y$  such that

$$\begin{aligned} & \gamma_0 = y_0 \quad \& \quad \gamma_1 = y_1 \quad \text{and} \\ E(\gamma_t) & \leq (1-t)E(y_0) + tE(y_1) - \frac{K}{2}t(1-t)d_Y^2(y_0, y_1) \end{aligned}$$

- ▶  $f$  smooth  $K$ -convex function on  $\mathbb{R}^n$ , then  $v = -\nabla f(x)$  iff

$$\langle v, x - y \rangle + \frac{K}{2}|x - y|^2 + f(x) \leq f(y) \quad \forall y \in \mathbb{R}^n$$

so  $(x_t)$  is a gradient flow of  $f$ , i.e.  $x'_t = -\nabla f(x)$  iff

$$\frac{d}{dt} \frac{|x_t - y|^2}{2} + \frac{K}{2}|x_t - y|^2 + f(x_t) \leq f(y) \quad \forall y \in \mathbb{R}^n, t \geq 0.$$

## $RCD(K, \infty)$ via gradient flows. The $EVI_K$ condition

- ▶ **Definition of  $EVI_K$  flow:** Let  $(Y, d_Y)$  be a geodesic space and  $E$  a l.s.c. functional. A locally absolutely continuous curve  $(y_t)$  with  $y_t \in D(E)$  for every  $t > 0$  is said  $EVI_K$  gradient flow of  $E$  if

$$\frac{d}{dt} \frac{d_Y^2(y_t, z)}{2} + \frac{K}{2} d_Y^2(y_t, z) + E(y_t) \leq E(z) \quad \forall z \in Y, \text{ a.e. } t > 0.$$

- ▶ **Remark:** the **existence** of an  $EVI_K$  flow of  $E$  depends on both the  $K$ -convexity of  $E$  and the **infinitesimal Hilbertianity** of the space; existence is not true in general, but in case of existence then nice contractivity and regularizing properties of the flow hold.

## $RCD(K, \infty)$ is equivalent to $EVI_K$

**Theorem**[Ambrosio-Gigli-Savaré(2011)-Ambrosio-Gigli-M.-Rajala(2012)]:  $(X, d, m)$  is  $RCD(K, \infty)$  iff for every  $\mu \in \mathcal{P}_2(X)$  with  $\text{supp}(\mu) \subset \text{supp}(m)$  there exists an  $EVI_K$  gradient flow  $(\mu_t)$  of  $\mathcal{U}_{\infty, m}$  in  $(\mathcal{P}_2(X), W_2)$  starting from  $\mu$ .

### Remark

- ▶  $EVI_K$  formulation useful for proving the **stability of  $RCD(K, \infty)$**  [Ambrosio-Gigli-Savaré(2011)] for  $m \in \mathcal{P}(X)$  and [Gigli-M.-Savaré(2013)] for general  $m$  and without any compactness assumption.
- ▶  $EVI_K$  formulation useful to prove that  $RCD(K, \infty)$  is equivalent to  $BE(K, \infty)$ , i.e. Bochner inequality for  $N = \infty$  [Ambrosio-Gigli-Savaré(2012)].  
(See later for more on Bochner inequality)

Finite dimensional Theory:  
 $RCD^*(K, N)$  and  $RCD(K, N)$  spaces

## The $CD^*(K, N)$ condition

- ▶ The **reduced** curvature-dimension condition  $CD^*(K, N)$  was introduced by Bacher-Sturm (2010)
- ▶ Modification of  $CD(K, N)$ : (a priori) weaker convexity condition on  $\mathcal{U}_{N,m}$
- ▶  $CD(K, N) \Rightarrow CD^*(K, N) \Rightarrow CD(K^*, N)$  where  $K^* = \frac{K(N-1)}{N}$
- ▶ If  $(X, d)$  is **non branching** then (**local to global**)  
 $CD^*(K, N) \Leftrightarrow CD^*_{loc}(K, N) \Leftrightarrow CD_{loc}(K^-, N)$ .
- ▶ In **non branching** spaces **tensorization** holds
- ▶ Same **geometric consequence** of  $CD(K, N)$  (Bishop-Gromov, Bonnet-Myers, Lichnerowicz) but sometimes with slightly worse constants.

## $RCD^*(K, N)$ and $RCD(K, N)$ spaces

**DEF:**  $(X, d, m)$  is  $RCD^*(K, N)$  (resp.  $RCD(K, N)$ ) iff  $\text{Infinit. Hilbertian } CD^*(K, N)$  (resp.  $CD(K, N)$ ).

**Stability:** If  $(X_i, d_i, m_i, \bar{x}_i)$  are  $RCD^*(K, N)$  (resp.  $RCD(K, N)$ ) and converge in pmGH (or pmG) to  $(X, d, m, \bar{x})$ , then  $(X, d, m)$  is  $RCD^*(K, N)$  (resp.  $RCD(K, N)$ ) as well.

$\rightsquigarrow$  any pmGH limit of a sequence of Riemannian manifolds with  $\text{Ricci} \geq K$  and  $\text{dim} \leq N$  is a  $RCD(K, N)$  space

$RCD(K, \infty) \supset RCD^*(K, N) \supset RCD(K, N) \supset \text{Ricci limits of } N\text{-dim man}$   
for every  $N \in \mathbb{N}$ .

**Q:** are the inclusions above strict or not?

## About

$RCD(K, \infty) \supset RCD^*(K, N) \supset RCD(K, N) \supset$  Ricci limits

- ▶  $RCD(K, \infty) \not\cong RCD^*(K, N)$ .

Example: Gaussian space  $(\mathbb{R}^n, \|\cdot\|_{\text{eucl}}, \gamma_n)$

- ▶ THM (Cavalletti-Milman, Inventiones Math. 2021): In case  $m(X) < \infty$  it holds  $RCD^*(K, N) \Leftrightarrow RCD(K, N)$ .

No reason to expect if fails for  $m(X) = \infty$  but adaptation of proof is not trivial.

- ▶  $RCD(K, N) \not\cong$  pmGH limits of Riem. man. with Ricci  $\geq K$  and dimension  $\leq N$ .

Example:  $C(\mathbb{R}P^2)$  is  $RCD(0, 3)$  but cannot be a pmGH limit of Riem. manifolds with Ricci  $\geq 0$  and dimension  $\leq 3$ .

If it were, it would be a non-collapsed 3-dimensional Ricci limit. From recent work by Simon and Simon-Topping (idea: mollify by Ricci flow) any non-collapsed 3-dim Ricci limit is a topological manifold, but  $C(\mathbb{R}P^2)$  is not.



## Examples of $RCD(K, N)$ spaces

- ▶ Ricci limits (i.e. pmGH limits of Riem. Man. with  $\text{Ricci} \geq K$  and  $\dim \leq N$ ), no matter if collapsed or not are  $RCD(K, N)$
- ▶ Finite dimensional Alexandrov spaces with curvature bounded below (Perelman 90'ies and Otsu-Shioya '94:  $Ch$  is quadratic, Petrunin '12: CD is satisfied)
- ▶ Weighted Riemannian manifolds with Bakry-Émery  $N - \text{Ricci} \geq K$ : i.e.  $(M^n, g)$  Riemannian manifold, let  $\mathfrak{m} := \Psi \text{vol}_g$  for some smooth function  $\Psi \geq 0$ , then
$$\text{Ric}_{g, \Psi, N} := \text{Ric}_g - (N - n) \frac{\nabla^2 \Psi^{1/N-n}}{\Psi^{1/N-n}} \geq Kg$$
iff  $(M, d_g, \mathfrak{m})$  is  $RCD(K, N)$ .
- ▶ Cones or spherical suspensions over  $RCD(N - 1, N)$  spaces (Ketterer)
- ▶ Quotients, orbifolds, metric-measure foliations with Ricci bounded below (GalazGarcia-Kell-M.-Sosa).
- ▶ Stratified spaces with Ricci bounded below and cone angle  $\leq 2\pi$  (Bertrand-Ketterer-Mondello-Richard).

## Moral from examples

In doing Riemannian geometry one naturally encounters non smooth spaces

- ▶ when taking limits of Riemannian manifolds (procedure used often, e.g. contradiction arguments, blow up arguments, singularities in geometric flows),
- ▶ when taking quotients, cones, foliations of Riemannian manifolds.

If the smooth spaces we started with have Ricci bounded below, then the non smooth spaces arising are *RCD*.

→  $RCD(K, N)$  spaces can be seen as an extension of the class of smooth Riemannian manifolds with Ricci  $\geq K$ , which is closed under many natural geometric and analytic operations.

In lecture 4 we will see some smooth applications.

## Bochner inequality in m.m.s. setting

- ▶ We say that  $(X, d, m)$  has the **Sobolev-to-Lipschitz property (StL for short)** if

$\forall f \in W^{1,2}(X), |\nabla f|_w^2 \leq 1 \Rightarrow f$  has a 1-Lipschitz repres.

- ▶  $RCD(K, \infty)$  implies StL (Ambrosio-Gigli-Savaré '11).
- ▶ We say that  $(X, d, m)$  satisfies the dimensional Bochner Inequality, **BI(K, N)** for short, if
  - it is inf. Hilbert. & StL holds,
  - $\forall f \in W^{1,2}(X, d, m)$  with  $\Delta f \in L^2(X, m)$  and  $\forall \psi \in LIP(X)$  with  $\Delta \psi \in L^\infty(X, m)$  it holds

$$\int_X \left[ \frac{1}{2} |\nabla f|_w^2 \Delta \psi + \Delta f \operatorname{div}(\psi \nabla f) \right] dm \geq K \int_X |\nabla f|_w^2 \psi dm + \frac{1}{N} \int_X |\Delta f|^2 \psi dm.$$

**Question:** are  $RCD^*(K, N)$  and  $BI(K, N)$  equivalent?

## Motivation from the smooth setting

- ▶  $(M, g)$  smooth Riemannian manifold,  $f \in C^\infty(M)$  then  
Bochner identity

$$\frac{1}{2}\Delta|\nabla f|^2 = |\text{Hess } f|^2 + \text{Ric}(\nabla f, \nabla f) + g(\nabla\Delta f, \nabla f).$$

- ▶ If  $\dim(M) \leq N$  and  $\text{Ric} \geq K g$  then Dimensional Bochner inequality

$$\frac{1}{2}\Delta|\nabla f|^2 \geq \frac{1}{N}|\Delta f|^2 + K|\nabla f|^2 + g(\nabla\Delta f, \nabla f).$$

To formally obtain  $BI(K, N)$  multiply by  $\psi \in C_c^\infty(M)$  and integrate by part.

$BI(K, N)$  is a fundamental tool: Splitting theorem of Cheeger-Gromoll 1971, Lichnerowicz bound on spectral gap, upper bound on first Betti number by Bochner via Hodge theory, etc.

# $RCD^*(K, N)$ is equivalent to $BI(K, N)$

THM (Erbar-Kuwada-Sturm and Ambrosio-M.-Savaré )  
 $(X, d, m)$  satisfies the dimensional Bochner inequality  $BI(K, N)$  iff it is an  $RCD^*(K, N)$  space.

- ▶ the result bridges the Eulerian formulation of Ricci bounds (Bochner inequality) and the Lagrangian formulation (optimal transport)
- ▶ the approach of EKS is based on the equivalence of an entropic curvature condition involving the Boltzmann entropy and uses a weighted heat flow (which is linear)
- ▶ the (subsequent and independent) proof by AMS involves non linear diffusion equations in metric spaces: more precisely the porous media equation (which is the nonlinear gradient flow of the Rényi entropy) plays a crucial role in the arguments
- ▶ the case  $N = \infty$  was already established by Ambrosio-Gigli-Savaré '12 via the heat flow

## Some ideas of the AMS approach in case $K = 0$

**Idea:** Use gradient flows as a bridge between the Eulerian point of view (Bochner inequality) and the Lagrangian point of view (optimal transport).

**Def:**  $(\mu_t)_{t \geq 0} \subset \mathcal{P}_2(X)$  is an  $EVl_0$  gradient flow of  $\mathcal{U}_{N,m}$  starting from  $\mu_0 \in \mathcal{P}_2(X)$  if

$$\frac{1}{2} \frac{d}{dt} W_2^2(\mu_t, \nu) \leq \mathcal{U}_{N,m}(\nu) - \mathcal{U}_{N,m}(\mu_t), \quad \forall \nu \in \mathcal{P}(X)$$

The two parts of the bridge are:

**Thm 1:**[Ambrosio-M.-Savaré]  $(X, d, m)$  satisfies the  $RCD^*(0, N)$  condition iff for every  $\mu_0 \in \mathcal{P}_2(X)$ , the Renyi entropy  $\mathcal{U}_{N,m}$  admits an  $EVl_0$  gradient flow starting from  $\mu_0$ .

**Thm 2:**[Ambrosio-M.-Savaré].  $(X, d, m)$  satisfies the  $BI(0, N)$  condition iff for every  $\mu_0 \in \mathcal{P}_2(X)$ , the Renyi entropy  $\mathcal{U}_{N,m}$  admits an  $EVl_0$  gradient flow starting from  $\mu_0$ .

# Formal proof of $BI(0, N) \Rightarrow EVI_0$

Inspired by smooth setting (Otto-Westdickenberg and Daneri-Savaré) consider the porous media flow.

- ▶ Let  $P_t$  be the porous media flow defined by the equation

$$\partial_t P_t(\rho_0) = \Delta((P_t \rho_0)^{1-\frac{1}{N}})$$

- ▶ **CLAIM:**  $(P_t \rho_0)_\# \mathbf{m}$  defines an  $EVI_0$  gradient flow from  $\mu_0 = \rho_0 \mathbf{m}$
- ▶ Given a regular curve  $(\rho_s \mathbf{m})_{s \in [0,1]} \subset \mathcal{P}(X)$  call  $\rho_{s,t} := P_{st} \rho_s$
- ▶ For every  $s$  and  $t$  let  $\varphi_{s,t}$  be the solution to the continuity equation  $\operatorname{div}(\rho_{s,t} \nabla \varphi_{s,t}) = \partial_s \rho_{s,t}$
- ▶  $\nabla \varphi_{s,t}$  has to be understood as the **velocity field** of the curve  $s \mapsto \rho_{s,t}$

**Lemma 5** The  $BI(0, N)$  condition implies that

$$\frac{d}{dt} \int_X \frac{1}{2} |\nabla \varphi_{s,t}|^2 \rho_{s,t} \, d\mathbf{m} + \frac{d}{ds} \mathcal{U}_{N,\mathbf{m}}(\rho_{s,t} \mathbf{m}) \leq 0$$

## Formal proof of $BI(0, N) \Rightarrow EVI_0$

- ▶ Thanks to the semigroup property of  $P_t$ , enough to check that  $EVI_0$  holds at  $t = 0$ , i.e.

$$\frac{1}{2} \frac{d}{dt^+} W_2^2((P_t \mu_0), \nu) \leq \mathcal{U}_{N,m}(\nu) - \mathcal{U}_{N,m}(\mu_0), \quad \forall \nu \in \mathcal{P}(X)$$

- ▶ Let  $(\rho_s \mathbf{m})_{s \in [0,1]}$  be a geodesic from  $\nu = \rho_0 \mathbf{m}$  to  $\mu_0 := \rho_1 \mathbf{m}$  (by density it is enough to consider  $\nu \ll \mathbf{m}$ )
- ▶ Integrating Lemma 5 w.r.t.  $s \in [0, 1]$  we get

$$\frac{d}{dt} \int_0^1 \left( \int_X \frac{1}{2} |\nabla \varphi_{s,t}|^2 \rho_{s,t} d\mathbf{m} \right) ds \leq \mathcal{U}_{N,m}(\nu) - \mathcal{U}_{N,m}(\mu_0)$$



# Formal proof of $BI(0, N) \Rightarrow EVI_0$ . Conclusion

Conclude observing that

$$\begin{aligned} W_2^2(P_t(\mu_0), \nu) &\leq \int_0^1 \left( \int_X \frac{1}{2} |\nabla \varphi_{s,t}|^2 \rho_{s,t} d\mathbf{m} \right) ds \\ &= \text{"Length of } ((P_{st}\rho_s)\mathbf{m})_{s \in [0,1]} \text{ from } \nu \text{ to } P_t\mu_0\text{"} \\ W_2^2(\mu_0, \nu) &= \int_0^1 \left( \int_X \frac{1}{2} |\nabla \varphi_{s,0}|^2 \rho_s d\mathbf{m} \right) ds \\ &= \text{"Length of } (\rho_s\mathbf{m})_{s \in [0,1]}, W_2\text{-geod from } \nu \text{ to } \mu_0\text{"} \end{aligned}$$

so that

$$\frac{1}{2} \frac{d}{dt^+} W_2^2((P_t\mu_0), \nu) \leq \frac{d}{dt} \int_0^1 \left( \int_X \frac{1}{2} |\nabla \varphi_{s,t}|^2 \rho_{s,t} d\mathbf{m} \right) ds$$

# Consequences of Bochner inequality.

## 1: Li-Yau and Harnack type inequalities

**THM**[Garofalo-M. '13, Jiang '14]  $f \in L^1(X, \mathfrak{m}), f \geq 0$   $\mathfrak{m}$ -a.e. Then

- ▶ **Li-Yau Inequality**: if  $(X, d, \mathfrak{m})$  is an  $RCD^*(0, N)$  space then

$$\Delta(\log(H_t f)) \geq -\frac{N}{2t} \quad \mathfrak{m}\text{-a.e.} \quad \forall t > 0$$

- ▶ **Bakry-Quian Inequality**: If  $(X, d, \mathfrak{m})$  is an  $RCD^*(K, N)$  space, for some  $K > 0$ , then

$$\Delta(H_t f) \leq \frac{NK}{4}(H_t f) \quad \mathfrak{m}\text{-a.e.} \quad \forall t > 0$$

- ▶ **Harnack Inequality**: If  $(X, d, \mathfrak{m})$  is an  $RCD^*(K, N)$  space, for some  $K \geq 0$ , then for every  $x, y \in X$  and  $0 < s < t$  we have

$$(H_t f)(y) \geq (H_s f)(x) e^{-\frac{d^2(x,y)}{4(t-s)e^{\frac{2Ks}{3}}}} \left( \frac{1 - e^{\frac{2K}{3}s}}{1 - e^{\frac{2K}{3}t}} \right)^{\frac{N}{2}}.$$

# Localization-Globalization of Curvature-Dimension conditions

Curvature-dimension bounds are geometrically local concepts, but the Lott-Sturm-Villani definition is global in nature. So does **global to local** and **local to global** hold?

**GTL**: typically needs some **strong convexity** either of the entropy or of the domain (Book of Villani)

**LTG**: was established under the **non-branching assumption** for

- ▶  $CD(K, \infty)$  spaces [Sturm '06],  $CD(0, N)$  spaces [Villani '09]
- ▶  $CD^*(K, N)$  spaces [Bacher-Sturm '10]

**BUT**: i) Non Branch +  $CD(K, N)$  is **NOT stable** under mGH-conv.

ii) Rajala '13: **example** of a (highly branching) locally  $CD^*(0, 4) = CD(0, 4)$  space but not  $CD(K, \infty)$ .

**Q**: how reinforce  $CD^*(K, N)$  to get a stable condition + LTG?

## Consequences of Bochner inequality.

### 2: Local to Global property for $RCD^*(K, N)$ without a priori non-branching assumption

**THM**[Ambrosio-M.-Savaré '13] Let  $(X, d, m)$  be a locally compact length space and assume there is a covering  $\{U_i\}_{i \in I}$  of  $X$  by non empty open subsets s.t.  $(\bar{U}_i, d, m \llcorner \bar{U}_i)$  satisfy  $RCD(K, \infty)$  (resp.  $RCD^*(K, N)$ ).

Then  $(X, d, m)$  is an  $RCD(K, \infty)$  (resp.  $RCD^*(K, N)$ ) space.

#### IDEA of PROOF

(i) by equivalence with  $BI(K, N)$ , for every  $U_i$  the dimensional Bochner inequality holds for functions supported on  $U_i$

(ii) Construct partition of unity  $\{\chi_i\}_{i \in I}$  subordinated to  $\{U_i\}_{i \in I}$  of Lipschitz functions with  $\Delta \chi_i \in L^\infty$

(iii) Globalize  $BI(K, N)$  by using partition of unity and conclude that  $RCD^*(K, N)$  holds by applying globally the equivalence theorem □

# Local to global for Essentially Non Branching $CD(K, N)$

**DEF**(Rajala-Sturm '14):  $(X, d, \mathfrak{m})$  is **essentially non-branching** (e.n.b. for short) if for every two measures  $\mu_0, \mu_1 \in \mathcal{P}_2(X)$ ,  $\mu_0, \mu_1 \ll \mathfrak{m}$  the  $W_2$  optimal transport concentrates on non-branching geodesics.

**THM** (Rajala-Sturm '14): Any  $RCD(K, \infty)$  or  $RCD^*(K, N)$  space is essentially non-branching.

**THM** (Cavalletti-Milman '17) Let  $(X, d, \mathfrak{m})$  be an e.n.b. space with  $\mathfrak{m}(X) < \infty$ . Then

$$CD^*(K, N) \Leftrightarrow CD_{loc}^*(K, N) \Leftrightarrow CD_{loc}(K, N) \Leftrightarrow CD(K, N).$$

In particular  $RCD^*(K, N) \Leftrightarrow RCD(K, N)$ .

The proof uses localization techniques and  $L^1$ -optimal transport. Some hints of these techniques in the next lectures.

For simplicity of notation, from now on we will write  $RCD(K, N)$  in place of  $RCD^*(K, N)$ , but all the results hold in  $RCD^*(K, N)$ .